1 **Supplementary Material for:** 2 3 Development and laboratory evaluation of a do-it-yourself (DIY) filtration solution for residential evaporative coolers to reduce indoor wildfire smoke exposure 4 Aditya Singh¹, Brent Stephens^{1*}, Mohammad Heidarinejad¹, Brett Stinson², Elliott Gall², Jeff 5 Wagner³, Brett Singer⁴, Shelly Miller⁵, Nayamin Martinez⁶, Ruben Rodriguez⁶, Gina Solomon^{7,8} 6 7 ¹ Department of Civil, Architectural, and Environmental Engineering, Illinois Institute of 8 9 Technology, Chicago, IL USA 10 ² Department of Mechanical and Materials Engineering, Portland State University, Portland, OR 11 USA 12 ³ California Department of Public Health, Environmental Health Laboratory Branch, Richmond, 13 CA USA ⁴ Lawrence Berkley National Laboratory, Berkeley, CA USA 14 ⁵ University of Colorado, Boulder, CO USA 15 ⁶ Central California Environmental Justice Network, Fresno, CA USA 16 17 ⁷ Public Health Institute, Oakland, CA USA 18 ⁸ School of Medicine, University of California, San Francisco, CA USA 19 20 *Corresponding author: 21 Brent Stephens, PhD 22 Professor and Department Chair 23 Arthur W. Hill Endowed Chair in Sustainability 24 Department of Civil, Architectural, and Environmental Engineering 25 Illinois Institute of Technology Alumni Memorial Hall Room 228 26

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Figure S1. Three horizontal-flow (through-the-window/wall) residential ECs acquired for laboratory testing:
 a-c) Essick RN35W, d-f) Phoenix/Brisa BW4002, and g-i) MasterCool MCP44.

36 Laboratory Measurements of EC Performance

37 Airflow Rates. An Extech AN200 vane anemometer was used to measure the air velocity leaving 38 the EC supply at 12 points following a grid pattern at the supply grille. Air velocity measurements 39 were made with the anemometer approximately 2.5 cm (1 inch) from the face of the supply grille. 40 The edge points of the grid pattern were at least 4 cm (1.5 inches) away from each edge of the 41 supply grille. After recording the velocity at the 12 points, an average velocity was calculated, 42 which was then multiplied by the measured supply grille gross area to estimate the airflow rate. 43 The uncertainty in airflow rate measurements was estimated using manufacturer-reported 44 uncertainty for velocity measurements, which is \pm (3% of reading + 0.2 m/s). We did not propagate 45 uncertainty for repeated velocity measurements, nor did we estimate the uncertainty in the supply 46 grille cross sectional area; the former is not customarily done with such repeated measurements 47 with the same instrument and uncertainty in dimension measurements is challenging to accurately 48 quantify. The anemometers were purchased new and thus were recently factory calibrated. 49 Repeatability in airflow rate measurements were investigated by having at least two researchers 50 periodically repeat velocity traverse measurements at the same test conditions. Three randomly 51 selected test conditions were initially used to make repeated airflow rate measurements by two 52 different researchers, which resulted in a mean difference of less than 2% between the 53 researchers, confirming that airflow rate measurements were repeatable.

54

55 Power Draw. Uncertainty in power draw measurements was taken as that reported by the 56 instrument manufacturer (±0.2% of reading). The power draw meters were purchased new and 57 thus recently factory calibrated. Repeatability for this measure was observed as high by visual 58 inspection of data at the same conditions.

59

60 **Pressure Drop.** Uncertainty in pressure drop measurements was taken was that reported by the 61 instrument manufacturer (±1% of reading). The DG-700s are approximately 10 years old but were 62 sent to the manufacturer for calibration in 2022. Repeatability for this measure was noted as 63 moderate by visual inspection of data at the same conditions, as the tight configuration and 64 turbulence influenced by the close proximity of the EC fan, pad, and enclosure sometimes 65 prohibited consistently reliable readings.

66

67 Sensible and Latent Cooling Capacity. The impact of a subset of test conditions and 68 corresponding airflow rates on sensible and latent cooling capacity of the ECs was evaluated by 69 measuring the temperature and relative humidity (RH) with Onset HOBO U12 T/RH data loggers

70 logging at 1-minute intervals, located at three points: 1) in front of the EC air intake (i.e., 71 upstream), 2) after the cooling pad but before the fan (as a middle point measure), and 3) in the 72 supply air stream (i.e., downstream, or outlet). Only the inlet and outlet measurements (points 1 73 and 3) were used to characterize the temperature and humidity differences across the EC during 74 these tests. Temperature (T) and RH values were used to calculate absolute humidity (W, in units 75 of kg_w/kg_{da}) for evaluating latent cooling capacity, assuming atmospheric pressure at sea level. 76 Upstream and downstream values of temperature (T) and humidity ratio (HR, or W) were 77 averaged over 2 hours of approximately steady-state operational periods at any test condition to 78 generate a mean and standard deviation (SD) in each value (i.e., upstream and downstream T 79 and upstream and downstream W). Mean (SD) values in each were used to calculate differences 80 across the EC, pad, and any filter attachment (i.e., $\Delta T \& \Delta W$). Values of ΔT and ΔW were also 81 used to calculate sensible, latent, and total cooling capacity following Equation S1.

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$$Q_t = Q_s + Q_l = \dot{m}_{da} C_p \Delta T + \dot{m}_{da} h_{fg} \Delta W \tag{S1}$$

83

84 where Q_t = total cooling capacity (W), Q_s = sensible cooling capacity (W), Q_l = latent cooling 85 capacity (W), \dot{m}_{da} = mass flow rate of dry air leaving the EC via the supply (kg_{da}/s), C_p = specific 86 heat capacity of air (J/[kg_{da}K]), ΔT = temperature difference across the EC, taken as T_{supply} – 87 T_{intake} (K), h_{fg} = specific enthalpy of vaporization (kJ/kg_w), and ΔW = humidity ratio difference 88 across the EC, taken as $W_{supply} - W_{intake}$ (kg_w/kg_{da}). For the evaporative cooling process, Q_t 89 should be near zero, Q_s should be negative, and Q_l should be positive.

90

91 Uncertainty in temperature and RH was taken as that reported by the instrument manufacturer: 92 $\pm 0.2^{\circ}$ C of reading for temperature and $\pm 2.5-5\%$ of reading for RH (depending on the value of RH 93 measured). To capture a larger range in uncertainty than experimental uncertainty alone, 94 propagated uncertainty in Δ T and Δ W was estimated by adding the standard deviations of the 95 mean upstream and downstream T and W readings in quadrature. Repeatability for this measure 96 was noted as high by visual inspection of time-series data (i.e., when steady state conditions were 97 achieved).

98

99 Tables S1 and S2 show results for cooling capacity from measurements of temperature and 100 relative humidity (RH) measured upstream before the EC air intakes and downstream after the 101 EC supply with the EC operating at approximately steady-state wet conditions under four filter

configurations for the Brisa and MasterCool, respectively. The Brisa EC (Table S1) was tested
without a filter (wet pad only), with two deep-bed filters 10-cm (4-inch) filters (Tex-Air MERV 13
and Rensa CA-13), and with one filtration combination that led to excessive reductions in flow (5cm (2-inch) Tex-Air MERV 13 plus 5-cm (2-inch) Rensa CA-13). The MasterCool EC (Table S2)
was tested without a filter (wet pad only), with two deep-bed 10-cm (4-inch) filters (Tex-Air MERV
13 and Rensa CA-13), and with a 10-cm (4-inch) Tex-Air MERV 11 as an option that led to a more
moderate flow reduction.

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Table S1. Mean (SD) temperature (T), relative humidity (RH), and humidity ratio (W) measured before the intake and after the supply on the Brisa EC operating at approximately steady-state conditions with a wet pad under four filter configurations.

Test Condition	Before Intake			After Supply			Supply - Intake		
	Flow (m ³ /h)	T (°C)	RH (%)	W (g _w /kg _{da})	T (°C)	RH (%)	HR (g _w /kg _{da})	T (°C)	W (g _w /kg _{da})
No Filter	3037	20.8	65.1%	10.0	18.4	86.9%	11.5	-2.4	1.5
	(240)	(0.2)	(1.8%)	(0.3)	(0.3)	(1.0%)	(0.4)	(0.4)	(0.5)
Tex-Air 4"	2812	21.6	66.4%	10.8	19.1	88.5%	12.2	-2.5	1.5
MERV 13	(233)	(0.1)	(1.2%)	(0.2)	(0.5)	(0.2%)	(0.2)	(0.5)	(0.3)
Rensa 4" CA	2656	22.0	67.1%	11.0	19.5	88.9%	12.6	-2.5	1.6
MERV 13	(228)	(0.1)	(0.1%)	(0.1)	(0.1)	(0.4%)	(0.1)	(0.1)	(0.2)
Tex-Air 2" + Rensa 2" M13 Combo	2573 (226)	21.6 (0.1)	66.1% (0.2%)	10.4 (0.2)	19.0 (0.1)	87.8% (0.5%)	12.0 (0.2)	-2.6 (0.1)	1.6 (0.3)

¹¹⁴

115 Results from the Brisa show that regardless of filter attachment and associated airflow rate, the

116 difference in temperature and humidity ratio between the intake and supply air were similar, with

117 a temperature drop of approximately 2.5°C and an increase in humidity ratio (W) of approximately

118 1.5-1.6 g_w/kg_{da} in the lab environment regardless of test condition. This suggests that delivered

119 cooling capacity is affected only by airflow and not by synergistic or antagonistic effects due to

120 changes in ΔT or ΔW .

122 **Table S2.** Mean (SD) temperature (T), relative humidity (RH), and humidity ratio (W) measured before the

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intake and after the supply on the MasterCool EC operating at approximately steady-state conditions with a wet pad under four filter configurations.

Test Condition		Before Intake			After Supply			Supply - Intake	
	Flow (m ³ /h)	T (°C)	RH (%)	W (g _w /kg _{da})	T (°C)	RH (%)	W (g _w /kg _{da})	T (°C)	W (g _w /kg _{da})
No Filter	2900	25.0	38.3%	7.5	20.4	62.6%	9.3	-4.6	1.9
	(258)	(0.8)	(4.7%)	(0.4)	(0.8)	(2.6%)	(0.2)	(1.6)	(0.5)
Tex-Air 4" MERV	1862	23.5	43.5%	7.7	18.3	71.6%	9.3	-5.2	1.6
11	(219)	(1.5)	(5.5%)	(0.4)	(0.5)	(2.1%)	(0.1)	(1.6)	(0.4)
Tex-Air 4" MERV	1862	27.3	33.0%	7.4	20.1	67.2%	9.8	-7.2	2.4
13	(219)	(0.3)	(0.7%)	(0.2)	(0.2)	(0.4%)	(0.1)	(0.4)	(0.3)
Rensa 4" CA	1458	26.9	33.0%	7.3	20.0	67.4%	9.8	-6.9	2.6
MERV 13	(204)	(0.6)	(0.8%)	(0.2)	(0.5)	(0.9%)	(0.2)	(0.8)	(0.2)

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126 Results for the MasterCool were not as clear and were likely affected by higher variability in 127 environmental conditions upstream of the air intakes but did not suggest systematic impacts of 128 airflow rates on ΔT or ΔW . For example, while the Tex-Air 10 cm (4-inch) MERV 11 and MERV 129 13 filters were measured to have approximately the same airflow rate, ΔT was 2°C higher and 130 ΔW was nearly 1 g_w/kg_{da} higher with the MERV 13, not because of airflow but likely because of a 131 higher entering temperature when the MERV 13 was tested (the air entering the ECs in these 132 tests was surrounding lab air and thus temperature was not precisely controlled). If any 133 relationship can be ascertained, it is that the measurements conducted with filtration attachments 134 that decreased flow rates demonstrated slightly larger ΔT and ΔW than no filter conditions.

135

136 Particle Removal Efficiency. Two sets of paired OPCs were used for efficiency testing in the 137 laboratory including one pair during 2022 (prior to summer 2022 pilot testing in the field) and 138 another pair after pilot testing and before full deployment in summer 2023 (two different OPC 139 pairs were used because one OPC was damaged while in the field in 2022). Prior to each round 140 of laboratory testing, the two paired OPCs were calibrated against each other using co-location 141 tests in which both devices were placed in the same location for a period of time (ranging from 142 about 30 to 90 minutes, logging at 1-minute intervals) to measure the same ambient indoor 143 aerosol sample, and a linear regression between the two devices was used to generate co-144 location calibration factors for each size bin (**Table S3**). Only co-location factors with R^2 above 0.85 were used; factors with R² below 0.85, which were present for the two largest size bins in 145

- the second round of testing due to very low number concentrations, were excluded and only raw
- 147 concentration measurements were used for those size bins.
- 148
- 149 150

 Table S3. Co-location factors for paired MetOne OPCs used in laboratory filtration efficiency

 measurements.

	Prior to Sur	nmer 2022	After Summer 2022			
Bin size (µm)	Slope	R ²	Slope	R ²		
0.3-0.5	0.875	0.94	0.803	0.99		
0.5-1	1.022	0.98	0.856	0.99		
1-2.5	0.838	0.97	0.921	0.97		
2.5-5	0.887	0.95	0.949	0.91		
5-10	1.228	0.96	0.739	0.65		
10+	1.233	0.86	0.382	0.21		

151

152 VOC Removal Efficiency. Sample air was introduced to the PTR-ToF-MS drift tube at 250 153 mL/min via a 9 m long section of 0.64 cm o.d. PFA tubing heated to 60 °C. The PTR-ToF-MS 154 scanned across 17–490 amu for compounds with proton affinity higher than that of H2O using 155 H3O+ as the primary reagent ion. The operating conditions were as follows: Tdrift = 60 °C. Pdrift 156 = 2.20 mbar, and Udrift = 600 V, which resulted in electric field strength to number density ratio 157 E/N = 135 Td (Townsend, 1 Td = 10-17 V.cm²). Signal intensities of NO+ (m/z = 29.9970), O2+ (m/z = 31.9892), and the water cluster (H2O)H3O+ (m/z = 37.0289) were respectively about 0.2%, 158 159 2%, and 2.5% of the H318O+ (m/z =21.0221) one. The mass axis was calibrated using a 160 hydronium isotope, H318O+ (m/z = 21.0221), NO+ (m/z = 29.9970), and a C6H4I2 fragment (m/z161 = 203.944), which is an internal standard continuously injected into the drift tube via a heated 162 permeation device (PerMaScal, Ionicon Analytik GmbH, Innsbruck, Austria). Mass spectra were 163 stored in ten-second intervals. Mass spectra were analyzed using PTRViewer 4.0, with VOC 164 peaks elevated due to wildfire smoke identified and corrected for isotopic interferences and 165 quantified using calibration factors determined from a calibration standard that contained BTEX 166 compounds (Apel-Reimer, USA).





Figure S2. Chamber test setup for measuring VOC removal efficiency of a new 10 cm (4 inch) depth
 carbon-impregnated MERV 13 filter at Portland State University.

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172 Chamber background concentrations for benzene and toluene were identified visually either 173 before or after decay periods after a short period of approximately steady-state operation. Linear 174 regressions on the natural log transformed concentration data versus time were used to estimate 175 first order loss rate constants during each of the four test conditions, using Equation S2.

176

$$-ln\left(\frac{C_{i,in}(t) - C_{i,in,bg}}{C_{i,in}(0) - C_{i,in,bg}}\right) = L_i t$$
(S2)

177

where $C_{i,in}(t)$ = the concentration of constituent *i* at time *t* (ppb), $C_{i,in}(0)$ = the concentration of constituent *i* at time 0 (ppb), $C_{i,in,bg}$ = the steady-state concentration of constituent *i* at a given test condition (ppb), L_i = first order loss rate constant for constituent *i* (1/min), and *t* = time since the beginning of constituent decay (min). The CADR for constituent *i* at each of the three tested face velocities was then estimated using Equation S3.

183

$$CADR_{i} = V(L_{i,test} - L_{i,no\ filter})$$
(S3)

184

where $L_{i,test}$ and $L_{i,no\ filter}$ = the estimated first order loss rate constants for constituent *i* in the chamber during a given test condition and without the fan/filter combination operating, respectively, *V* = the interior volume of the test chamber (17.8 m³), and *CADR_i* = the CADR for constituent *i* at the test condition (m³/min). Finally, the single-pass VOC removal efficiency for constituent *i* was estimated using Equation S4. 190

$$\eta_i = \frac{CADR_i}{Q_{filter}} \times 100\%$$
(S4)

191

where η_i = is the estimated single-pass removal efficiency of the filter for constituent *i* at a given test condition (-) and Q_{filter} = the measured flow rate through the filter at a given test condition (m³/min).

195

196 Figure S3 shows an example of time-resolved benzene concentrations measured by the PTR-197 ToF-MS during chamber testing of a 61x76-cm [24x30-inch] 10-cm [4-inch] depth Rensa CA-13 198 carbon-impregnated MERV 13 filter and fan combination at Portland State University. The first 199 half of the figure shows benzene elevation (by burning pine needles) followed by natural decay in 200 the enclosed chamber without the fan/filter combination operating, followed by a flush with 201 ambient room air surrounding the chamber. The second half of the figure shows three replicate 202 elevation and decay periods using the same source but with the fan/filter combination operating. 203 The PTR-ToF-MS device switches between chamber and room conditions at the end of each test 204 and the chamber is flushed with room air in between tests. Chamber background (BG) 205 concentrations are selected by visual observation, including BG chamber measurements just 206 before pollutant elevation during the period with the fan/filter switched off ('air cleaner off') and 207 BG chamber measurements at the last few minutes of each decay period with the fan/filter 208 operating ('air cleaner on').



m79.054 (Benzene H+) (ppb)

Figure S3. Example of benzene concentrations measured by PTR-ToF-MS during chamber testing of
 Rensa CA-13 carbon-impregnated MERV 13 filter and fan combination at Portland State University. BG
 room = background in room outside of chamber, BG chamber = background.

- 213 Wildfire Event in Chicago, IL. On two days with heavy wildfire smoke in Chicago, one of the test
- ECs (Brisa BW4002) was rapidly deployed just outside a set of exterior doors of their laboratory
- 215 building on campus and fabricated a sheath to mimic a through-the-wall installation of an EC
- 216 (Figure S4).
- 217



Figure S4. Brisa BW4002 EC and particle monitors (SMPS and two OPCs) deployed outside IIT's
 laboratory to conduct measurements during the heavy smoke conditions caused by long-range transport
 from Canadian wildfires to Chicago on June 26-27, 2023: (a) outdoors (upstream) and (b) indoors
 (downstream).

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224 **Testing a plenum structure to house filters**

225 Figure S5 shows results from testing a custom surrounding structure that could act as a plenum 226 and filter housing and allow for filter(s) to be offset from the ECs, potentially reducing moisture 227 issues and leading to longer filter lifespans. A surrounding structure was constructed out of 228 cardboard on just the Brisa unit, allowing for installation of filters on any of the three intake sides. 229 This allowed for evaluating not only the impact of the filters installed in the surrounding structure 230 on performance metrics, but also for evaluating different combinations of filters with varying levels 231 of blockages to better understand the minimum number of filters through which ECs could draw 232 airflow through and still achieve flow performance targets. Therefore, this solution was tested 233 under four conditions, including: (1) three structure openings completely open (mimicking 0%) 234 blockage but with the structure in place), (2) filter installed on one intake opening with the other 235 two intakes blocked, (3) filters installed on two intake openings with the other blocked, and (4) 236 filters installed on all 3 intake sides of the structure. These configurations were also chosen for 237 their potential to reduce filter costs, i.e., if only one or two filters could be installed in a larger

- structure but still maintain flow and efficiency goals, then the upfront filter cost could be reduced.
- 239 Only the 10-cm (4-inch) Rensa CA-13 filter was tested for these purposes. The structure is shown
- visually in **Figure S5**a-c. **Figure S5**d shows results from airflow rate testing at these four
- conditions overlaid on the original Brisa fan curve that was regenerated by progressive blocking
- and **Figure S5**e shows size-resolved removal efficiency measured across the EC under these
- 243 test conditions.
- 244





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